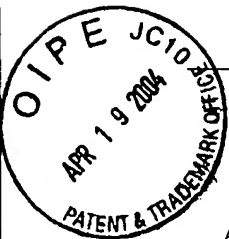


Date: April 15, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Gauthier, et al.
Appl. No. : 10/688,106
Filed : October 17, 2003
For : METHOD AND APPARATUS
FOR ENERGY RECOVERY IN
AN ENVIRONMENTAL
CONTROL SYSTEM
Examiner : Unassigned
Group Art Unit : 3744

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 15, 2004

(Date)

David K. Wiggins, Reg. No. 54,538

TRANSMITTAL LETTER

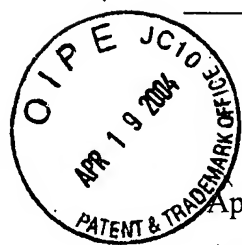
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with fifty nine (59) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

David K. Wiggins
Registration No. 54,538
Attorney of Record
Customer No. 20,995
(619) 235-8550

**INFORMATION DISCLOSURE STATEMENT**

Applicant	:	Gauthier, et al.
App. No.	:	10/688,106
Filed	:	October 17, 2003
For	:	METHOD AND APPARATUS FOR ENERGY RECOVERY IN AN ENVIRONMENTAL CONTROL SYSTEM
Examiner	:	Unassigned
Group Art Unit	:	3744

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing references that were previously provided. This application is a Continuation Application of Ser. No. 10/268,070, filed October 7, 2002, now issued as U.S. Patent No. 6,637,667, which is a Divisional Application of Ser. No. 09/746,213, filed December 22, 2000, now issued as U.S. Patent No. 6,474,084, which is a Continuation Application of Ser. No. 09/351,974, filed July 12, 1999, now issued as U.S. Patent No. 6,176,436, which is a Continuation Application of Ser. No. 08/933,871, filed September 19, 1997, now issued as U.S. Patent No. 6,062,482, all of which are relied upon for priority under 35 U.S.C. § 120. The references cited in the enclosed form PTO-1449 were all of record in parent application number 10/268,070, filed October 7, 2002. Accordingly, pursuant to 37 C.F.R. § 1.98(d), copies of the cited documents are not required to be provided.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Appl. No. : 10/688,106
Filed : October 17, 2003

Docket No. MPG.1C1C1DC
Customer No. 20,995

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/15/04

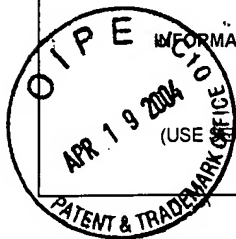
By: David K. Wiggins
David K. Wiggins
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(619) 235-8550

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
MPG.1C1C1DCAPPLICATION NO.
10/688,106INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Gauthier, et al.FILING DATE
10/17/03GROUP
3744

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,024,007	03/06/62	Gordon			
	2	3,265,301	08/09/66	Amdur et al.			
	3	3,346,040	10/10/67	Cohen			
	4	3,605,875	09/1971	McBride, Jr.			
	5	3,627,030	12/14/71	Lorenz			
	6	3,636,369	01/18/72	Harter			
	7	3,913,344	10/21/75	Holloway et al.			
	8	3,915,376	10/28/75	Attridge, Jr. et al.			
	9	4,132,355	11/1997	Cleary et al.			
	10	4,136,730	01/1979	Kinsey			
	11	4,215,408	07/29/80	Games et al.			
	12	4,283,007	08/11/81	Bramow et al.			
	13	4,298,946	11/03/81	Hartsell et al.			
	14	4,323,191	4/1982	Chiyoda et al.			
	15	4,333,519	06/1982	Shafrir et al.			
	16	4,361,273	11/30/82	Levine et al.			
	17	4,399,864	08/23/83	Lamar			
	18	4,478,048	10/23/84	Dills			
	19	4,506,514	03/26/85	Lamar et al.			
	20	4,557,317	12/10/85	Harmon, Jr.			
	21	4,558,595	12/17/85	Kompelien			
	22	4,616,325	10/07/86	Heckenbach et al.			
	23	4,659,009	04/21/87	Newell, III			
	24	4,667,874	05/26/87	Johnson et al.			
	25	4,725,001	02/16/88	Carney et al.			
	26	4,734,871	3/1988	Tsunoda et al.			
	27	4,744,223	05/1988	Umezu			

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. MPG.1C1C1DC	APPLICATION NO. 10/688,106
	APPLICANT Gauthier, et al.	
	FILING DATE 10/17/03	GROUP 3744

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	28	4,750,672	06/1988	Beckey et al.			
	29	4,852,363	08/01/89	Kampf et al.			
	30	4,860,552	08/1989	Beckey			
	31	5,097,671	3/1992	Jeong-Hun			
	32	5,129,234	07/14/92	Alford			
*	33	5,224,648	6/1993	Simon et al.			
	34	5,244,146	09/14/93	Jefferson et al.			
*	35	5,321,229	6/1994	Holling et al.			
	36	5,326,026	07/05/94	Jefferson et al.			
	37	5,340,028	08/23/94	Thompson			
	38	5,346,129	09/13/94	Shah et al.			
	39	5,348,078	9/1994	Dushane et al.			
	40	5,390,206	2/1995	Rein et al.			
	41	5,395,042	03/07/95	Riley et al.			
	42	5,407,129	04/18/95	Carey et al.			
	43	5,449,112	09/12/95	Heitman et al.			
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	45	5,481,481	1/1996	Frey et al.			
	46	5,495,722	3/1996	Manson et al.			
	47	5,499,512	3/1996	Jurewicz et al.			
	48	5,564,625	10/1996	Straub			
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	52	5,640,153	06/17/97	Hildebrand et al.			
	53	5,682,949	11/1997	Ratcliffe et al.			

EXAMINER	DATE CONSIDERED
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. MPG.1C1C1DC		APPLICATION NO. 10/688,106	
	APPLICANT Gauthier, et al.			
	FILING DATE 10/17/03		GROUP 3744	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	54	5,711,480	01/27/98	Zepke et al.			
	55	5,971,284	10/26/99	Hammer			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	56	0 238 979 A2	03/17/87	Europe				
	57	2 308 679 A	07/02/97	United Kingdom				
	58	09068338	11/03/97	Patent Abstract of Japan				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	59	Condyne Technology, Inc. product information, 13 pp.

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	